WATSON FARLEY & WILLIAMS



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SECTORS: Mining and Commodities, Energy, Maritime, Infrastructure, Oil and Gas, Power,

Transport, Ports and Terminals

SERVICES: Joint Ventures and Strategic Alliances, Capital Markets, Mergers and

Acquisitions, Debt Capital Markets, Corporate and M&A, Project Finance, Equity

Capital Markets, Private Equity, Restructuring and Insolvency

BIO

STEVE IS A PARTNER IN THE PRIVATE EQUITY, CAPITAL MARKETS, AND CORPORATE AND M&A GROUPS.

Steve's practice focuses on corporate, private equity, securities and capital markets transactions, most often on international transactions representing corporates, sponsors, acquirers, targets and private equity firms.

His work includes equity investments, joint ventures, public and private securities offerings for debt and equity, mergers and acquisitions, control acquisitions and dispositions, commercial contracts, regulatory filings, restructurings, as well as other business and capital market transactions and general corporate matters. He also prepares Securities and Exchange Commission and stock exchange filings and submissions, particularly relating to foreign private issuers.

Steve is admitted to practice law in New York, New Jersey and the Republic of the Marshall Islands, regularly advising and providing opinions in relation to entities formed in Liberia and the Marshall Islands. He has written extensively on corporate and securities matters, Marshall Islands and Liberian law, and has taught continuing legal education courses in both securities law and opinion practice. Chambers USA has noted that Steve "is the first call for anything Marshall Islands-related; he's easy to deal with and always hits the ball out of the park" and "He is commercial, practical and gets the deal done."

He is recognized as an expert based abroad in Liberia by Chambers Global (2022-2024) and he is ranked in Band 3 Chambers USA (2022-2023) for Transportation: Shipping/Maritime: Finance, where Chambers noted that "Steven is responsive, knowledgeable, practical and efficient. He provides sound legal advice and is always readily available to assist" and "He's a strong, commercially minded transactional attorney."

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Steve has also been recognized in the tier one Transport: Shipping – Finance team by Legal 500 US and is deemed highly regarded in M&A by IFLR 1000. He was selected to the New York Rising Stars in 2018 – 2020 in Securities & Corporate Finance in New York, NY.

EXPERIENCE

Advised Okeanis Eco Tankers Corp., as U.S. securities and Marshall Islands legal counsel, on its listing of common shares on the
NYSE, and in conjunction therewith with its application to change its listing status in Oslo from a primary listing to a secondary
listing on the Oslo Børs, where its common shares remain listed.
Advising Copenhagen Infrastructure Partners in its acquisition of multiple Texas-based wind farms from different parties.
Advising Northern Shipping Fund Management in its sale of assets to Hudson Structured Capital Management.
Advising Globus Maritime Limited on its transfer of the public listing of its shares from the Alternative Investment Market to NASDAQ, and on its follow-on offering, registered direct offerings and private placements.
Advising Offshore Merchant Partners in its purchase of Series G Redeemable Convertible Perpetual Preferred Shares from Tsako Energy Navigation.
Advising Cartesian Capital in its investment in Viva Air.

EDUCATION

 $2005 \cdot \text{Emory University School of Law, J.D., with honors}$

MEMBERSHIPS & ASSOCIATIONS

New York State Bar Association

American Bar Association

INSIGHTS

WATSON FARLEY & WILLIAMS

ARTICLE

THE DELAWARE COURT OF CHANCERY INVALIDATES TERMS OF A STOCKHOLDER AGREEMENT AND SHEDS LIGHT ON HOW JOINT VENTURES AND GOVERNANCE SHOULD BE STRUCTURED

www.wfw.com/articles/the-delaware-court-of-chancery-invalidates-terms-of-a-stockholder-agreement-and-sheds-light-on-how-joint-ventures-and-governance-should-be-structured/

EVENT

BRIDGE TO BOARDROOM SHIPOWNERS' WEBINAR SERIES

www.wfw.com/events/bridge-to-boardroom-shipowners-webinar-series/

PRESS

WFW ADVISES OKEANIS ON NYSE DUAL LISTING

www.wfw.com/press/wfw-advises-okeanis-on-nyse-dual-listing/

ARTICLE

SEC SHORTENS FILING DEADLINES FOR SCHEDULES 13D & 13G AND OFFERS GUIDANCE

www.wfw.com/articles/sec-shortens-filing-deadlines-for-schedules-13d-13g-and-offers-guidance/

ARTICLE

ARE SYNDICATED LOANS SECURITIES?

www.wfw.com/articles/are-syndicated-loans-securities/